

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37.1.53(b))

Attorney Docket No.	03104.0252
First Inventor	Christopher G. Estkowski
Title	Combination Filter/Cartridge Assembly
Express Mail Label No.	EL790262355US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See CFR 1.27.
3. ☒ Specification [Total Pages 21/]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 10/]
5. ☒ Oath or Declaration [Total Pages 2/]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table of Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: 2 checks: \$40 and \$914

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

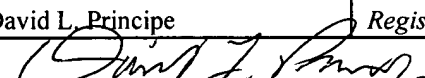
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: /

Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

NAME		David L. Principe			
		Hodgson Russ LLP			
ADDRESS		One M&T Plaza, Suite 2000			
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349
Name (Print/Type)	David L. Principe		Registration No. (Attorney/Agent)		39,336
Signature			Date	October 26, 2001	

"Express Mail" Mailing Label Number EL790262355US

Date of Deposit October 26, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

David L. Principe

Name

Signature

BFLODOCS:382_1 (@M01)

FEE TRANSMITTAL for FY 2002

Patent Fees are subject to annual revision.

Application Number

Filing Date

October 26, 2001

First Named Inventor

Christopher G. Estkowski

Examiner Name

Group/Art Unit

Attorney Docket Number

03104.0252

TOTAL AMOUNT OF PAYMENT

(\$ 954)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

3. ADDITIONAL FEES

Deposit Account Number: 08-2442

Deposit Account Name:

Hodgson Russ LLP

Large
Fee
CodeEntity
Fee
(\$)Small
Fee
CodeEntity
Fee
(\$)

Fee Description

Fee
Paid

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

105

130

205

65

Surcharge - late filing fee or oath

\$

☐ Applicant claims small entity status. See 37 CFR 1.27.

127

50

227

25

Surcharge - late provisional filing fee or cover sheet

\$

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

139

130

139

130

Non-English specification

\$

FEE CALCULATION

147

2,520

147

2,520

For filing a request for *ex parte* reexamination

\$

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee

Code (\$)

Fee Description

Fee Paid

112

920*

112

920*

Requesting Publication of SIR prior to Examiner Action

\$

Code (\$)

Code (\$)

Fee Description

Fee Paid

113

1,840*

113

1,840*

Requesting Publication of SIR after Examiner Action

\$

101 740 201 370 Utility filing fee

\$740

115

110

215

55

Extension for reply within first month

\$

106 330 206 165 Design filing fee

\$

116

400

216

200

Extension for reply within second month

\$

107 510 207 255 Plant filing fee

\$

117

920

217

460

Extension for reply within third month

\$

108 740 208 370 Reissue filing fee

\$

118

1,440

218

720

Extension for reply within fourth month

\$

114 160 214 80 Provisional filing fee

\$

128

1,960

228

980

Extension for reply within fifth month

\$

SUBTOTAL (1)

\$740

119

320

219

160

Notice of Appeal

\$

EXTRA CLAIM FEES

Extra Fee from
Claims below

Fee Paid

120

320

220

160

Filing a brief in support of an appeal

\$

Total Claims /25/-20** = 5 / x / 18/ =

\$ 90

121

280

221

140

Request for oral hearing

\$

Independent Claims /4/- 3** = 1 / x / 84/ =

\$ 84

138

1,510

138

1,510

Petition to institute a public use proceeding

\$

Multiple dependent / / x / / =

\$

140

110

240

55

Petition to revive - unavoidable

\$

Large Entity Small Entity

Fee Fee

Code (\$)

Code (\$)

Fee Description

Fee Paid

141

1,280

241

640

Petition to revive - unintentional

\$

Code (\$)

Code (\$)

Fee Description

Fee Paid

142

1,280

242

640

10 advance copies

\$

103 18 203 9 Claims in excess of 20

\$

143

460

243

230

Design issue fee

\$

102 84 202 42 Independent claims in excess of 3

\$

144

620

244

310

Plant issue fee

\$

104 280 204 140 Multiple dependent claim if not paid

\$

122

130

122

130

Petitions to the Commissioner

\$

109 84 209 42 **Reissue independent claims over original patent

\$

123

50

123

50

Processing fee under 37 CFR 1.17(q)

\$

110 18 210 9 **Reissue claims in excess of 20 and over original patent

\$

126

180

126

180

Submission of Information Disclosure Statement

\$

SUBTOTAL (2)

\$174

581

40

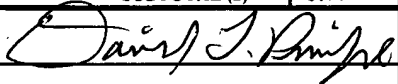
581

40

Recording each patent assignment per property (times number of properties)

\$ 40

SIGNATURE:



146

740

246

370

Filing a submission after final rejection(37 CFR 1.129(a))

\$

David L. Principe

Reg. No. 39,336

149

740

249

370

For each add'l invention to be examined(37 CFR 1.129(b))

\$

DATE: October 26, 2001

Telephone: (716) 848-1262

179

740

279

370

Request For Continued Examination (RCE)

\$

169

900

169

900

Request for Expedited Examination of a design appln.

\$

*Reduced by basic filing fee paid

SUBTOTAL (3)

\$ 40

Express Mail Mailing Label Number EL790262355US

Date of Deposit October 26, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

David L. Principe
Name

Signature

October 26, 2001
Date of Signature

BFLODOCS: 608994